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FORM PTO-1449(Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. C0441/7161	SERIAL NO. 09/578,156 SEP 28 2000
	APPLICANT Lundy Lewis	
	FILING DATE May 23, 2000	GROUP 2768

U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING DATE If Appropriate
Amc		5,905,715	05-18-99	Azarmi et al.	370	244	
Amc		5,517,622	05-14-96	Ivanoff et al.	709	232	
Amc		5,504,906	04-02-96	Lutoff	709	302	
Amc		5,761,502	6/2/98	Jacobs	395	614	
Amc		5,696,486	12/9/97	Poliquin et al.	340	506	
Amc		5,640,505	6/1997	Hearn et al.			

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		Doc. No. (11)	Pub. Date (43)	Country	Class	Sub Class	Translation Yes No

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Amc Network and Distributed Systems Management, edited by Morris Sloman, Imperial College of Science, Technology & Medicine, University of London, Addison-Wesley Publishing Co., Chapter 11, pp. 273-301

Amc Green Paper on Object Model for Services, 12 March 1997, Editor: Bryan Wood (bmw@mci.org.uk), pp. 1-4

Amc ODP Functions and CORBA Services, Background Material For Green Paper on ODP Functions and Object Services, Bryan Wood, Open-IT Ltd., 12 March 1997, pp. 1-24

Amc ISO/IEC JTC 1/SC 21 N9309, QoS - Basic Framework - CD Text, Joint ISO/IEC & ITU-T, Interim Meeting, Toronto, 9-13/1/95, Open Systems Interconnection, Data Management and Open Distributed Processing, Secretariat: USA (ANSI), January 1995

Amc Quality of Service (QoS) in OMG, Chris Sluman (cms@mci.org.uk), Jeremy Tucker (tuckerj@logica.com), J.P. LeBlanc (jpleblanc@ibm.net), OMG Green Paper, 97-03-06 - Version 0.3 - 11/25/98, pp. 1-17

Amc Quality of Service in Distributed Systems, Guest Editorial, Andrew T. Campbell, S. Keshav

Amc Object Management Group (OMG), 6/30/97, Object Services and Consulting, Inc.

Amc Position Paper: Resource Management for Complex Distributed Systems, Michael B. Davis and Jaroslaw J. Sydir, SRI International, Information, Telecommunications, and Automation Division, Menlo Park, California 94025, 8/26/96

Amc Taxonomy for QoS Specifications, Bikash Sabata Saurav Chatterjee, Michael Davis Jaroslaw and Thomas F. Lawrence, SRI International, 333 Ravenswood Ave, Menlo Park, California 94025, Published in the proceedings of Words '97, February 5-7, 1997, Newport Beach, California

Amc Integrated Quality of Service for Multimedia Communications, Andrew Campbell, Geoff Coulson, Francisco Garcia, David Hutchinson and Helmut Leopold, 1993 IEEE, 0743-166X/93, pp. 732-739



bm Crafting Successful Service Level Agreements, Peter Bendor-Samuel (info@eversoft.com), Everest Software Corp.,
wysiwyg://1426/http://www.summitonline.com/service/papers/everest

bm Object-Based QoS Management Framework, Pradeep Ray, Department of Computing, University of Western Sydney, Nepean,
Kingswood, NSW, 2747, Australia, 4/22/97

bm Multimedia Service Delivery with Guaranteed Quality of Service, M.Fry et al., CRC For Distributed Systems Technology, University of
Technology, Sydney, Sydney 2007, Australia

bm L. Lewis, "A1 and Intelligent Networks In The 1990's And Into The 21st Century, Chap. 7, pp. 109-124, Worldwide Intelligent Systems,
J. Leibowitz and D.S. Prerau (Eds.), IOS Press, Sept. 1995

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System Management, pp. 97-100; Chapter 14 - Integration of Management Tools Into Platforms, pp. 359-373

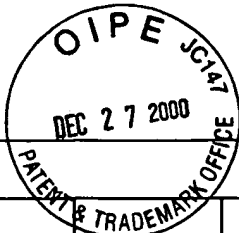
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filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional
applications).

EXAMINER <u>Scott M. Cobb</u>	DATE CONSIDERED <u>05/02/03</u>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP
609; Draw line through citation if not in conformance and not considered.

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	APPLICANT NAME Lundy Lewis	
	FILING DATE May 23, 2000	GROUP 2768



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FOREIGN PATENT DOCUMENTS							
		Country & Doc. No. (11)	Pub. Date (43)		Class	Sub Class	Translation Yes No

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by L. Lewis, "Spectrum Service Level Management Definition, Offerings, And Strategy," Technical Note LML-CTRON-98-02, 'Online!', 30 March 1998 (1998-03-30), pages 1-17, XP002150696, Retrieved from the Internet: [URL:www.aprisma.com/white-papers/spectrum/slm.pdf](http://www.aprisma.com/white-papers/spectrum/slm.pdf) 'retrieved on 2000-10-18!

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EXAMINER <i>Scott M. Lewis</i>	DATE CONSIDERED <i>05/02/03</i>
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FORM PTO-1449(Modified)	ATTY. DOCKET NO. C0447/7161	SERIAL NO. 09/578,156
	APPLICANT Lundy LEWIS,	
	FILING DATE May 23, 2000	GROUP

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Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING DATE If Appropriate
by		5,768,501	06/16/98	Lewis	395	185	May 28, 1996
by		5,261,044	11/09/93	Lewis et al.	395	159	
by		5,680,552	10/21/97	Netravali et al.	395	200.8	
by		5,473,608	12/5/95	Gagne et al.	370	401	
by		5,450,408	9/12/95	Phaal	370	401	
by		5,317,568	5/31/94	Bixby et al.	370	401	
by		5,079,765	1/7/92	Nakamura	340	825.8	
by		5,436,909	7/25/95	Dev			
by		5,293,323	3/8/94	Doskocil			
by		5,309,448	5/94	Bouloutas	395	183	
by		5,495,470	2/96	Tyburnski et al.	370	248	
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by	EP 0 632 617 A2	04.01.95	EPO				x
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		May 1996, McConnell Consulting, Inc., Boulder, CO.
by		Lundy Lewis and Jim Frev: "Incorporating Business Process Management into Network and Systems Management", April 9-11, 1997, Proceedings of ISADS 97, IEEE Computer Society Press, Los Alamitos, CA.
by		Schlaerth, J.P.: "A Concept for Tactical Wide-Area Network Hub Management," Proceedings of the Communications Conf. (MILCOM), Long Branch, NJ., Oct. 2-5, 1994, Vol. 2 of 3, IEEE, pp. 644-649.
by		Grady Booch: "Object-Oriented Analysis and Design (with Applications)" Second Ed., 1994
		Ch. 2, pgs 28-80, Addison-Wesley Publishing Co.

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EXAMINER Scott G. Lohs	DATE CONSIDERED 05/02/03
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		Doc. No. (11)	Pub. Date (43)	Country	Class	Sub Class	Translation Yes No
		EP 0854605 A2	22.07.1998	Europe			
		WO 93/10495	27.05.93	PCT			

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APR 13 2001

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- LM* L. Lewis, "Spectrum Service Level Management Definition, Offerings, And Strategy," Technical Note LML-CTRON-98-02, 'Online!', 30 March 1998 (1998-03-30), pp. 1-17, XP002150696
- LM* K. Kubo et al., "A Method Of Extracting Management Information For Service Management," NTT Review, JP, Telecommunications Association, Tokyo, vol. 10, no. 2, 1 March 1998 (1998-03-01), pp. 63-68, XP000740456
- LM* L. Lewis et al., "Incorporating Business Process Management Into Network And Systems Management," Proceedings - ISADS 97- Third International Symposium On Autonomous Decentralized Systems (Cat. No. 97TB100111), Berlin, Germany, 9-11 April 1997, pp. 385-392, XP002150698, 1997, Los Alamitos, CA, USA, IEEE Comput. Soc. Press, USA
- LM* L. Lewis et al., "Event Correlation In SPECTRUM And Other Commercial Products," TECHNICAL NOTE CTRON-LML-99-05, 'Online!', 5 May 1999 (1999-05-05), pp. 1-8, XP002159591
- LM* L. Lewis, "Managing Computer Networks: A Case-Based Reasoning Approach," 1995, ARTECH HOUSE, INC., Norwood, MA, US, XP002159593
- LM* "Saman Strategic Service Management," Gecko Software Saman Whitepaper, 'Online!', 1997, pp. 1-5, XP002150697
- LM* L. Lewis, "A Fuzzy Logic Representation Of Knowledge For Detecting/Correcting Network Performance Deficiencies," Network Management and Control, vol. 2, 1994, pp. 1-7, XP002159592

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EXAMINER <i>Scott A. Wells</i>	DATE CONSIDERED <i>05/02/03</i>
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